

New Zealand Warmblood Association Inc.

BREEDING AND REGISTRATION REQUIREMENTS

1st of September 2021



1. BREEDING GOALS

The breeding goals of the New Zealand Warmblood Association are:

- i. To produce horses capable of International Representation in the Olympic disciplines of Dressage, Show jumping and Eventing
- ii. To produce a noble, correctly built Warmblood horse with good rideability, capable of superior performance in all disciplines
- iii. To produce a horse with natural impulsion and elastic movements.
- iv. To produce a horse which because of its temperament, character, and willingness, is suited as an all-round riding horse on the basis of its natural ability.

2. REGISTRATION OF HORSES

2.1. Eligibility

All horses that can prove at least 25% Warmblood breeding can be registered with the NZWA in one of the four Registers.

2.2. Membership

All persons registering a horse must be a current member of the NZWA at the time of registration.

2.3. Method of Conception

2.3.1. To be eligible for Registration a foal may be produced by:

- a) Natural Service including paddock breeding providing the mare has only been exposed to one stallion
- b) Artificial Insemination (AI)
- c) Frozen Semen
- d) Embryo Transfer (ET), Invitro Fertilisation (IVF) or Frozen Embryos
- e) Cloned horses are NOT eligible for Registration

2.3.2. If a mare is bred by more than one stallion in a breeding season DNA testing for proof of paternity will be required for the resulting progeny.



2.4. Proof of Breeding

2.4.1. When registering a horse, the owner must provide proof of the dam's date of service. This can be done in one of three ways.

- a) **Certificate of Service** – this certificate is to be used for all natural, fresh, and chilled semen inseminations. This is to be signed by the stallion stud master/agent. These certificates shall be executed in triplicate at the time of the service.
- The white copy is sent to the mare owner and used at the time of registration.
 - The blue copy is sent to the Registrar for the NZWA records.
 - The pink copy is retained by the stallion owner.
- Stallion owners have until 31st of July each year to return either their Certificates of Service, the online form, or an approved alternative as provided by the NZWA committee for such purpose from time to time, to the Registrar.
- b) **Frozen Semen Breeding, Embryo Transfer, Invitro Fertilisation or Frozen Embryo Breeding Certification** – This is to be signed by the inseminating Veterinarian/AI technician carrying out the procedure certifying the date of and method of conception, along with the name of the stallion and mare to the Registrar.
- c) **Mare and Stallion Declaration Forms** – if no Certificate of Service was provided by the stallion owner at the time of service, or this was not lodged with the NZWA Registrar, both the mare and the stallion owner will be required to fill out a Declaration Form available from the Registrar.
- Such horses will not be eligible for Register #1, but may be entered into Register #2, Derivative #1, or Derivative Register #2 as determined by their pedigree.

2.4.2. All information contained on breeding certificates, declaration forms and Veterinary breeding certifications, will be considered confidential and only available to the Committee and NZWA members where appropriate, on a strictly need-to-know basis when conducting authorised business.

2.5. DNA Testing

2.5.1. All horses being entered into Register #1 must be parent verified to both sire and dam.

2.5.2. All horses entering Register #2, Derivative Register #1, or Derivative Register #2 that have been produced by frozen semen, embryo transfer, invitro fertilisation and frozen embryo must be Parent Verified via DNA testing to the Sire (compulsory) and Dam (if possible).



- 2.5.3.** All horses entering the Stud Book shall have been Parent verified by DNA
- 2.5.4.** All horses entering the Pre Stud Book must have a DNA profile and be Parent Verified where possible.
- 2.5.5.** Use of Frozen Semen from a Deceased Warmblood Stallion – The stallion must have been DNA profiled during his lifetime. If no DNA profile was taken, the resulting progeny will be ineligible for registration with the NZWA.
- 2.5.6.** In addition to the above, NZWA reserves the right to request a DNA profile and Parent Verification on any horse prior to registration to ascertain parentage if the Committee believes there is justifiable cause to do so. The expense for such testing is the responsibility of the owner.
- 2.5.7.** Stallions over the age of three years being entered into the Register #2 or Derivative Register #1 or Derivative Register #2 must be DNA profiled and Parent Verified where possible.

2.5 Naming of Horses

- 2.6.1** No horse shall be registered under a name which is a duplicate of a horse alive or dead that has already been registered with NZWA.
- 2.6.2** A horse may be registered with a breeder's prefix or Suffix (refer to 2.7)
- 2.6.3** A horse being entered into the NZWA Studbook or Database already registered with an overseas Society or a Breed Society recognised by NZWA, with a name identical to one already in the NZWA register, will have roman numerals added to its name, in consecutive order.

2.7 Use of Prefix / Suffix

The NZWA permits but does not require the using of a Prefix / Suffix, however a member wishing to use a Prefix / Suffix will be required to have their Prefix / Suffix recorded with the Society following its use on more than two registrations.



- 2.7.1** Applications for the recording of a Prefix / Suffix must be made on the official form and sent to the Registrar - current fee will apply.
- 2.7.2** A Prefix/Suffix must be approved by the Committee of the Society and reserves the right to reject any Prefix / Suffix which is the same as, or similar, to any other NZWA or ESNZ recorded Prefix / Suffix in NZ or overseas or is unsuitable on any other grounds.
- Prefix or Suffix must not duplicate that of another member, either by being spelt the same or pronounced similarly.
 - The copying of celebrated Prefixes or Suffixes is not permitted.
 - It is recommended that the name of the horse combined Stud Prefix / suffix be less than 22 characters including spaces.
- 2.7.3** A recorded Prefix / Suffix grants the exclusive use of a distinctive prefix or suffix for purposes of registration to the registered owner for life - No renewals are required.
- 2.7.4** Initials or abbreviations will be acceptable in naming of animals with a prefix or suffix in lieu of a Breeders full Stud name – both must be recorded.
- 2.7.5** Current owners of a Horse may use their own Prefix as a Suffix for registration purposes in cases where they are not the breeder of a horse, paying all costs to qualify and complete the Registration.
- 2.7.6** The purchaser of a Stud / Breeding operation shall have no right to the previous owner's prefix or suffix except with the sanction of the previous owner and by approval of the Committee.

2.8 Change of Name

Once a horse is registered under a given name, this name can only be changed to another that is not already on the register, at a fee to be set each year.

- 2.6.1** The current owner of the horse must apply for the name change in writing, supplying the original registration certificate / passport and the written approval of the breeder of the horse.
- 2.6.2** The Breeder's prefix or suffix must not be deleted or altered without the express permission of the breeder.



2.9 Dual Registrations

Since 2018 the NZWA has not Dual Registered horses that have previously been registered with any other breed association that issues UELN numbers or is a member of the WBFSH

2.10 Passports

From 1st August 2019 all newly registered horses will receive a FEI Approved National Identification Document / Passport, with the exception of a horse who has already been issued a Passport for sport (e.g., FEI Passport or ESNZ Recognition Paper). In this instance the horse may be issued a registration paper instead of Passport at the discretion of the Committee.

2.11 Universal Equine Life Number (UELN)

From 1st August 2019 all newly registered horses will be given a unique UELN beginning with 554 (NZ Code) 009 (NZWA Code, NZ0 and then the 6-digit NZWA Number which ends in the year of birth e.g., 554-009-NZ0-245619.

2.12 Imported Horses

Imported horses and foals conceived overseas can be registered with the New Zealand Warmblood Association.

2.12.1 Such horses must not have been previously registered with any other studbook recognised by the WBFSH or have been issued with a UELN by a breed association.

2.12.2 Imported horses may be registered into any of the NZWB Registries but must meet all requirements of the register for which they are applying.

2.13 The Recorded Breeder

In accordance with the policy of the WBFSH, the recorded breeder of the horse is the owner / lessee of the mare at the time the foal is born. If a mare is sold with a foal in utero, the recorded breeder therefore will be the person who owns / leases the mare at the time the foal is born.

2.14 Official Date of Birth

This shall be the true age of the horse as the day, month, and year of birth.



2.15 Death of a Horse

Upon the death of a registered horse, its certificate of registration with the date of the death noted thereon must be submitted to the Registrar for cancellation and recording.

2.16 Transfer of Ownership

When a horse changes ownership, the registration certificate / passport, along with the signed Change of Ownership form must be returned to the Registrar. Either party must be a current member, or the current fee will be invoiced to the person submitting the form. The ownership transfer will be recorded on the certificate / passport and in the official database of NZWA. The new owner is not required to be a member of the NZWA.

2.17 Replacement Passport / Registration Certificates

If the original NZWA issued registration certificate / passport is destroyed or misplaced, the owner can request another one to be issued. This request must be made on the form for this purpose available on the NZWA Website and must be signed by a Justice of the Peace. This must come from the current registered owner of the horse and the current fee will be invoiced.

2.18 'Grandfather Clause'

Under the conditions of the "Grandfather clause" the progeny of mares currently in the Register and Stud Books with incomplete pedigrees stated as "unknown", will be treated as if their pedigrees are complete. Female progeny of these mares would be eligible for Registration providing they meet all other criteria; however, colts must have a complete four generation pedigree to be eligible for upgrading into a Stud Book.

NB: This is for incomplete or "Unknown" in the pedigree – not for horses that were historically given full papers even though their pedigrees contain breeds not accepted into the Full Register under the current rules. The progeny of such mares must meet all criteria for the Register they are being entered into.

2.19 Identification of Horses

2.19.1 All horses gaining a NZWB Passport must have their ID pages filled out and stamped by a licensed veterinarian.

2.19.2 Microchipping is compulsory for all horses registered with the NZWA from 1st September 2018.



2.19.3 The use of the NZWA Brand or a Stud Brand is allowed but not required.

2.19.4 The NZWB Brand is only allowed to be placed on horses entered into Warmblood Register #1. Once a horse is accepted into Warmblood Register #1 the owner may apply to the Registrar for the NZWB brand. The owner's Veterinarian will be sent a branding slip and the NZWA Brand. The brand is to be freeze branded onto the left-hand thigh of the horse with the last digit of the year of birth placed underneath. The brand and completed branding slip must be posted back to the Registrar by the Veterinarian without delay.

2.19.5 Stud Brand – the NZWA will allow stud owners to use their own stud brand. This brand is to be registered with the NZWA upon written application and payment of applicable fees by the stud owner.

- The NZWA registrar will keep a list of all approved brands.

2.19.6 Freeze Branding – The NZWA will only allow the use of freeze branding. Fire Branding is no longer permitted.

2.20 Pedigree Recording

In pedigrees for registered Warmblood horses the following are to be identified:

- i) Horses which are Thoroughbred will be designated with a double xx after their name.
- ii) Horses which are Arabian will be designated with an ox after their name.
- iii) Horses which are Anglo-Arabian will be designated with an x after their name.

3. NZ WARBLOOD REGISTERS

NZ Warmblood Register #1:

For horses with at least 25% Warmblood breeding, and no more than 7% blood that is either non-Warmblood, Thoroughbred or Arab and that:

- a) are sired by a stallion that is in the NZ Warmblood Studbook or NZWA Stallion Pre-Stud Book (or are licensed for breeding by a WBFSH Stud Book and meet all NZWA pedigree criteria), and,
- b) are out of a mare that is in the NZ Warmblood Stud Book or NZWA Mare Pre-Stud Book, and
- c) are DNA Parent Verified to both the sire and the dam.



NZ Warmblood Register #2:

For horses with at least 25% Warmblood breeding, and no more than 7% blood that is either non-Warmblood, Thoroughbred or Arab and that:

- a) are NOT sired by a stallion that is in the NZ Warmblood Studbook or NZWA Stallion Pre-Stud Book (or are NOT licensed for breeding by a WBFSH Stud Book and meet all NZWA pedigree criteria), and / or,
- b) are NOT out of mare that is in the NZ Warmblood Stud Book or NZWA Mare Pre-Stud Book, and / or
- c) are NOT DNA Parent Verified to both the sire and the dam.

NB: DNA is required for Frozen Semen and Embryo Transfers only. Horses produced via chilled or fresh semen require a minimum of a Certificate of Service from the stallion owner.

Horses may be upgraded to Register #1 at a later stage if both sire and dam appear in the stud book and are DNA Parent Verified to both the sire and the dam.

NZ Warmblood Derivative Register #1:

For horses with at least 25% warmblood breeding that:

- a) have no more than 13% unknown blood, or,
- b) have no more than 13% blood other than the allowable WB / TB / Arab

NB: Mares and Stallions from Derivative Register #1 are eligible for the NZWB Pre-Stud Books

NZ Warmblood Derivative Register #2:

For horses with at least 25% warmblood breeding that:

- a) have more than 13% unknown blood and / or
- b) have more than 13% blood other than the allowable WB / TB / Arab
- c) and / or are by a licenced pony stallion but out of a mare that precludes acceptance into the Pony Register

NZ Warmblood Pony Register:

For ponies with 25% warmblood pony breeding that



- a) are by a licenced pony stallion.
- b) are out of pony mares from the breeding population allowable under the NZ Warmblood Pony Rules.
- c) may not reach a mature height in excess of 148cm.

3.1 Horses in the Warmblood Register #1, Warmblood Register #2 or Derivative Register #1 that have failed their classification shall remain in their original Register and not be elevated to the Warmblood Stud Book or Pre-Studbook, unless after due consideration by the committee it is elevated due to the exceptional performance of the individual horse and/or it's progeny (see clause 4.)

3.2 Horses registered in the Derivative Register are eligible for warmblood competitions and warmblood performance awards but may be restricted to divisions for Derivative Register stock.

4. Special Circumstances

In the furtherance of the Association's goal to develop a superior horse, the Committee may, in exceptional circumstances, empower the Registrar to accept horses of other than approved Warmblood breeds if this inclusion on the Register or in the Stud Book is deemed to contribute to the improvement of the Warmblood breed. This provision is to be used with discretion and only on rare occasions.

If a horse owner believes that they have a horse worthy of consideration, an application must be made in writing to the President and Registrar. This application must include full pedigree details, competition/breeding results, photographs and any other supporting documentation that may be appropriate.

Likewise, if a stallion or mare either fails its licensing or classification inspection or is not presented it may be upgraded into the Studbook under this Clause. These horses will meet all the criteria in terms of correct pedigree. They can be assessed and included into the studbook on performance in sport or on performance of their progeny in sport with the minimum criteria being equal to or above that of Principal Mare or Stallion status. Other considerations such as type and temperament will also be taken into account.

Decisions on horses using this Special Circumstances clause must be passed by an 80% majority of the current NZWA Committee.



5. THE NZ WARBLOOD STALLION STUD BOOKS

The Warmblood Stallion Stud Books will contain all registered stallions that have been successfully classified and approved with NZWA, or any other internationally recognized warmblood breed society that is accepted by the Committee. The stud book may also contain those horses that have been elevated to approved status due to the exceptional performance of the individual horse and/or it's progeny, after due consideration by the committee.

There are three Stallion Stud Books for stallions approved for breeding with the NZ Warmblood Association: The Stallion Stud Book, The Stallion Pre-Stud Book, and the Stallion Foundation Stud Book.

To be eligible for any stud book the stallion must meet the following criteria:

Stallion Stud Book:

Stallions must be over 3 years of age and

- a) be in the NZ Warmblood Register #1 and be licensed and approved for breeding by the NZWA, or
- b) be registered with another studbook, still meeting the criteria of NZ Warmblood Register #1, and be licensed and approved for breeding by the NZWA, or
- c) have been licensed and approved into another Warmblood Stud Book, still meeting the criteria of NZ Warmblood Register #1, and have his scores transferred to the NZ Warmblood Stallion Stud Book upon approval from the NZWA Committee and with an acceptance fee paid.
- d) be DNA profiled and Parent Verified.

Stallion Pre-Stud Book:

Stallions must be over 3 years of age and

- a) be in the NZ Warmblood Register #2, or Derivative Register #1 and be licensed and approved for breeding by the NZWA, or
- b) be registered with another studbook, still meeting the criteria of NZ Warmblood Register #2, or Derivative Register #1 and be licensed and approved for breeding by the NZWA, or
- c) have been licensed and approved into another Warmblood Stud Book, still meeting the criteria of NZ Warmblood Register #2, or Derivative Register #1 and have his scores



transferred to the NZ Warmblood Stallion Pre-Stud Book upon approval from the NZWA Committee and with an acceptance fee paid.

NB All stallions in the Pre-Stud Book must be DNA profiled, and Parent Verified (where possible).

Stallion Foundation Stud Book:

Stallions must be over 3 years of age and

- a) Be a registered Thoroughbred / Arabian or Anglo-Arabian stallion and be licensed and approved for breeding by the NZWA
- b) have been licensed and approved into another Warmblood Stud Book, and have his scores transferred to the NZ Warmblood Foundation Stud Book upon approval from the NZWA Committee and with an acceptance fee paid.

NB: Once the stallion has undergone a successful classification then only the foals to Warmblood Stud Book Mares will be eligible for registration as Warmblood.

5.1 Classification And Licensing of Stallions

5.1.1 Stallions will only be entered in the Warmblood Stallion Stud Book if they have successfully undergone the classification process with the NZWA or a recognised WBFSH Member Stud Book. Additional stallions may be accepted into the Warmblood Stallion Stud Book if they meet certain criteria conditions and are approved by the committee.

5.1.2 NZWB stallions must be recorded in Register #1 in order to progress to the Stallion Stud Book or recorded in either Register #1 or the Derivative Register #1 in order to progress to the Pre-Stud Book.

5.1.3 Stallions registered in other WBFSH Member Stud Books (whether in NZ or Overseas) may be inspected and approved into the Stallion Stud Book or the Pre-Stud Book after inspection and approval by the NZWA, providing all criteria for the stud book has been reached.

5.1.4 All stallions must pass a veterinary inspection for breeding soundness, carried out by a veterinarian approved by the N.Z. Veterinary Association at the owner's expense. They must also pass a Classification assessment approved by the NZWA.



- 5.1.5** A physical examination of the stallion will be carried out at the age of THREE years or older. This examination will be completed by one or more overseas experts approved by the NZWA Committee. As a guideline the assessor(s) should be actively assessing for European based stud books. The stallion shall gain a total score of at least 75% and score no less than SIX IN ANY ONE CRITERIA.
- 5.1.6** In exceptional circumstances, stallions who have passed their licensing overseas but are yet to be approved via a performance test may be accepted into the NZ Studbook on the approval of the committee. The licensing that they have passed must have included a ridden component and stallion owners must apply to the NZWA committee for consideration to enter the stud book. If the committee does not agree to accept the stallion he will have to present in NZ for approval.
- 5.1.7** If the stallion is presented and fails the classification, then he is eligible to represent within 3 years of that date. During this time his registration will be held in the Warmblood Register. If he is represented and passes classification, then he will be elevated to the Warmblood Stud Book. If he again fails, then he will remain in the Warmblood Register unless the committee decides that he is worthy of implementing clause 3.13.
- 5.1.8** The progeny of failed stallion will still be eligible for registration in Warmblood Register #2, if they meet the specified criteria.
- 5.1.9** All Warmblood stallions registered in other WBFSH Member Stud Books (whether in NZ or overseas) that have been inspected and licensed and subsequently approved for breeding, are eligible for inclusion in the Warmblood Stallion Stud Book. This eligibility is conditional to providing a DNA profile to the Registrar, proof of the successful approval, paid all required fees and having been approved by the Committee. The NZWA committee can deny registration of a stallion because of insufficient Warmblood breeding or of characteristics deemed undesirable or detrimental in the pursuit of NZWA breeding goals.
- 5.1.10** After two or three breeding seasons of newly registered stallions, some or all of his progeny may be examined by two or more members of the inspection panel to determine whether the stallion is passing on any serious genetic defect. Veterinary advice may be sought by the panel. The young stock will also be examined for type and movement. If significant faults are discovered, upon the advice of the inspection panel the Committee with a two-thirds majority vote may direct the removal of the stallion from any of the Stallion Stud Books. The status of the stallion's foals that have been registered up to that time and those that are in utero will not be affected.



5.1.11 The specific rules and regulations pertaining to Stallion Licensing, including the Veterinary Form and the Application form are available on the NZWA website and or by contacting the Registrar.

6.2 STALLION STAR RANKING SYSTEM AND PERFORMANCE TESTING:

All stallions listed in the NZWA Stallion Studbooks will have a STAR RANKING.

The star ranking system was introduced in August of 2019. The Star Ranking System categories stallions by their performance in the Licensing, subsequent Performance Testing and at the higher levels of sport.

It is anticipated that by 2025 Stallion Performance Testing will be a requirement to achieve permanent approval for breeding. (For Performance Testing Requirements please see Appendix 1)

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|-------------------|---|
| No Star | Any stallion that has passed the NZWA licensing criteria but did not achieve Merit or Excellence during the Licensing and has not met the requirements for a higher star status. |
| One Star | Any Stallion that passed Licensing with a Merit (78 - 79.9%) or Excellence (Over 80%) during the Licensing but has not met the requirements for a higher star status. |
| Two Star | Any Stallion that is Licensed and has achieved the Performance testing requirements. (See Appendix 1) |
| Three Star | Any Stallion that is Licensed and has achieved 'Principal Stallion' status through performance in Sport (see the NZWA Breed Regulations for the definition of Principal Stallion) |
| Four Star | Any Stallion that is Licensed and has achieved 'Elite Stallion' status through performance in Sport (see the NZWA Breed Regulations for the definition of Elite Stallion) |



6.3 UPGRADING TO PRINCIPAL AND ELITE STALLION STATUS

Studbook Stallions can be awarded Principal or Elite status due to performance in Sport, or via the performance of their progeny.

These stallions must have produced at least FIVE NZWA registered foals in order to be considered and also must be in good standing with the NZWA with all fees paid and service certificates returned for the past breeding season.

Performances must have been achieved at official ESNZ competitions. Overseas achievements of the same level / standard may also be accepted.

Stallion owners will need to provide proof to the NZWA Committee that the stallion has met the criteria for each level of the award.

Stallions achieving these awards will be presented with a wall plaque and Certificate of Attainment as well as having their status added to the Online Stud Book and special mention on both social media and in the newsletter.

Principal Status will be awarded to those studbook stallions who can prove:

- Three scores of 65% in dressage competitions at Level 5 and above (excluding musical freestyles). These must have been obtained at ESNZ Regional Championships, National Championships of the Horse of the Year Show OR
- Three double clears in show jumping competitions at 1.30m and above OR
- Three placings 1st to 6th in eventing competitions at 2 Star level and above – must have finished on less than 60 penalties
- OR have produced FIVE or more registered progeny who have met these requirements

Elite Status will be awarded to those studbook stallions who can prove:

- scores of 65 % or above (excluding musical freestyles) in dressage competitions at Prix St Georges level and above – these must be obtained at a CDI, NZ National Championships or the NZ Horse of the Year Show OR
- Three double clears or 1-4th placings in show jumping competitions at Grand Prix level – these must be obtained at the NZ National Championships, Horse of the Year Show or World Cup Qualifier OR
- Three placings 1-6th in eventing 3-star competitions or above – must have finished on less than 60 penalties
- OR have produced FIVE or more registered progeny who have met these requirements



7. THE NZ WARMBLOOD MARE STUD BOOKS

The Warmblood Mare Stud Books will contain all registered mares that have been successfully classified and approved with NZWA, or any other internationally recognized warmblood breed society that is accepted by the Committee. The stud book may also contain those horses that have been elevated to approved status due to the exceptional performance of the individual horse and/or it's progeny, after due consideration by the committee.

There are three Mare Stud Books for mares approved for breeding with the NZ Warmblood Association: The Mare Stud Book, The Mare Pre-Stud Book and the Foundation Mare Stud Book.

To be eligible for any stud book the mare must meet the following criteria:

Mare Stud Book:

Mare must be over 3 years of age and

- a) be in the NZ Warmblood Register #1 and be inspected and approved for breeding by the NZWA, or,
- b) be registered with another studbook, still meeting the criteria of NZ Warmblood Register #1, and be inspected and approved for breeding by the NZWA, or,
- c) have been inspected and approved into another Warmblood Stud Book, still meeting the criteria of NZ Warmblood Register #1, and have her scores transferred to the NZ Warmblood Mare Stud Book upon approval from the NZWA Committee and with an acceptance fee paid.
- d) be DNA profiled and Parent Verified

Mare Pre-Stud Book:

Mare must be over 3 years of age and,

- a) be in the NZ Warmblood Register #2, or Derivative Register #1, and be inspected and approved for breeding by the NZWA, or,
- b) be registered with another studbook, still meeting the criteria of NZ Warmblood Register #2, or Derivative Register #1, and be inspected and approved for breeding by the NZWA, or,
- c) have been inspected and approved into another Warmblood Stud Book, still meeting the criteria of NZ Warmblood Register #2, or Derivative Register #1, and have her scores transferred to the NZ Warmblood Mare Pre-Stud Book upon approval from the NZWA Committee and with an acceptance fee paid



NB: All Mares in the Pre-Stud Book must be DNA profiled, and Parent Verified (where possible).

Foundation Mare Stud Book:

Mare must be over 3 years of age and,

- a) Be a registered Thoroughbred / Arabian or Anglo-Arabian mare and be inspected and approved for breeding by the NZWA
- b) have been inspected and approved into another Warmblood Stud Book, and have her scores transferred to the NZ Warmblood Foundation Stud Book upon approval from the NZWA Committee and with an acceptance fee paid.

NB: Once the mare has undergone a successful inspection and breeding approval then only the foals by Warmblood Stud Book stallions will be eligible for registration as Warmblood.

7.1 Inspection and Approval of Mares

7.1.1 Mares will only be entered in the Warmblood Mare Stud Book if they have successfully undergone the classification process with the NZWA or a recognized WBFSH Member Stud Book. Additional mares may be accepted into the Warmblood Mare Stud Book if they meet certain criteria conditions and are approved by the committee.

7.1.2 NZWB mares must be recorded in Register #1 in order to progress to the Mare Studbook, or recorded in either Register #2, the Derivative Register #1 in order to progress to the Pre-Stud Book.

7.1.3 Mares registered in other WBFSH Member Stud Books (whether in NZ or Overseas) may be inspected and approved into the Mare Stud Book or the Pre-Stud Book after inspection and approval by the NZWA, providing all criteria for the stud book has been reached.

7.1.4 A physical examination of the mare will be carried out at the age of THREE years or older. This examination may only be completed by one or more overseas experts approved by the NZWA committee. As a guideline the assessors(s) should be actively assessing for European based stud books. The mare shall gain a total score of 65% and not less than FIVE IN ANY ONE CRITERIA.



- 7.1.5** If the mare is presented and fails the classification, then she is eligible to represent at a later date. Before being represented her registration will be held in the Warmblood Register. If she is represented and passes classification, then she will be elevated to the Warmblood Mare Stud Book
- 7.1.6** All Warmblood mares registered in other WBFSh Member Stud Books (whether in NZ or Overseas) that have been inspected and subsequently approved, are eligible for inclusion in the Warmblood Mare Stud Book providing all criteria for that studbook has been reached. However, they must have been inspected by an International Assessment Committee, not a New Zealand based Assessor(s). This eligibility is conditional to providing a DNA profile to the Registrar, proof of the successful approval, paid all required fees and having been approved by the Committee. The NZWA committee can deny registration of any mare due to insufficient Warmblood breeding or of characteristics deemed undesirable or detrimental in the pursuit of NZWA breeding goals.
- 7.1.7** The progeny of failed mare will still be eligible for registration in the Warmblood Registers, providing they meet the specified criteria.

7.2 WARMBLOOD MARE STUDBOOK UPGRADING TO PRINCIPLE AND ELITE STATUS

Studbook Mares can be awarded Principal or Elite status due to performance in Sport, or via the performance of their progeny in sport.

These mares must have produced at least ONE NZWA registered foal in order to be considered.

Performances must have been achieved at official ESNZ competitions. Overseas achievements of the same level / standard may also be accepted.

Mare owners will need to provide proof to the NZWA Committee that the mare has met the criteria for each level of the award.

Mares achieving these awards will be presented with a wall plaque and Certificate of Attainment as well as having their status added to the Online Stud Book and special mention on both social media and in the newsletter.

- **Principal Status will be awarded to those studbook mares who can prove:**



- a) Three scores of 65% or above at level 4 dressage or above (excluding Musical Freestyles) These must have been obtained at ESNZ Regional Championships, National Championships of the Horse of the Year Show **OR**
 - b) Three double clears in show jumping competitions at 1.25m and above **OR**
 - c) Three placings 1-6th in eventing competitions at 1 Star level and above – must have finished on less than 60 penalties
 - d) **OR** have produced two or more registered progeny who have met these requirements
- **Elite Status will be awarded to those studbook mares who can prove:**
 - a) Three scores of 65% in dressage competitions at Prix St Georges level (excluding Musical Freestyles) These must have been obtained at ESNZ Regional Championships, National Championships of the Horse of the Year Show **OR**
 - b) Three double clears or 1-4th placings in show jumping competitions 1.40m or above – these must be obtained at the NZ National Championships, Horse of the Year Show or World Cup Qualifying shows **OR**
 - c) Three placings 1-6th in eventing competitions at 3-star level or above – must have finished on less than 60 penalties
 - d) **OR** have produced two or more registered progeny who have met these requirements.

8. THE WARBLOOD PONY STUD BOOK AND REGISTER

The Warmblood Pony Studbook – composed of the Pony Stallion Stud Book, the Pony Mare Stud Book, and the Foundation Pony Stud Book which are only for classified and approved ponies. These are administered alongside the Warmblood Pony Register.

NB: Refer to the separate NZ Warmblood Pony Rules for more details.



APPENDIX 1 - STALLION PERFORMANCE TESTING STANDARDS

	Dressage	Showjumping	Eventing
4yr old Stallion	70% or higher in 5 official level 1 or 2 dressage tests at a Regional Championships show OR 2 percentages over 75% an official Young Horse Championship test in the 4yr old class.	0.90 meter to 1.00 – 4 clear rounds in the top 6 placings at official ESNZ recognised Showjumping shows OR 2 clear rounds with top 6 placings in the 4yr old show jumping qualifiers or finals.	Training or higher – 3 results in the top 6 placings with clear x-country jumping and less than 10 time faults in the XC phase and no more than 1 show jumping rail and 1 time fault for a maximum fault score of 5 in the show jumping phase. A refusal in the show jumping phase is not acceptable.
5yr old Stallion	70% or higher in 5 official level 2 or 3 dressage tests at a Regional Championship show or 2 percentages over 75% in an official Young Horse Championship test in the 5yr old class.	1.00 or more – 4 clear rounds in the top 6 placings at official ESNZ recognised Showjumping shows OR 2 clear rounds with top 6 placings in the 5yr old show jumping qualifiers or finals.	1.00 or higher – 3 results in the top 6 placings with clear x-country jumping and less than 10 time faults in the XC phase and no more than 1 show jumping rail and 1 time fault for a maximum fault score of 5 in the show jumping phase. A refusal in the show jumping phase is not acceptable.
6yr old Stallion	67% or higher in 5 official level 3 or higher dressage tests at a Regional Championship show or 2 percentages over 73% in an official Young Horse Championship test in the 6yr old class.	1.10 or more – 4 clear rounds in the top 6 placings at official ESNZ recognised Showjumping shows OR 2 clear rounds in the top 6 placings in the 6yr old showjumping qualifiers or finals.	1.05 or higher - 3 results in the top 6 placings with clear x-country jumping and less than 10 time faults in the XC phase and no more than 1 show jumping rail and 1 time fault for a maximum fault score of 5 in the show jumping phase. A refusal in the show jumping phase is not acceptable.
7yr or older Stallion	65% or higher in 5 official level 4 or higher dressage tests at a Regional Championship show or 2 percentages over 72% in an official Young Horse Championship test in the 7yr old class (Stallion must be 7yrs to achieve performance criteria in the Young Horse class).	1.15 or more – 4 clear rounds in the top 6 placings at official ESNZ recognised Showjumping shows OR 2 clear rounds with top 6 placings in the 7yr old show jumping qualifiers or finals.	CIC 1* - 3 results in the top 6 placings with clear x-country jumping and less than 10 time faults in the XC phase and no more than 1 show jumping rail and 1 time fault for a maximum fault score of 5 in the show jumping phase. A refusal in the show jumping phase is not acceptable.
NB	A young horse Championships is one such as the National Dressage Championships, Horse Of The Year Young Horse Championship, North Island Future Stars or South Island Future Stars.		A top 3 placing in the age appropriate Young Horse class for Eventing will also be considered, with a qualifying score of a top six placing with clear x country jumping and less than 10 time faults in the XC phase and no more than 1 show jumping rail and 1 time fault for a maximum fault score of 5 in the show jumping phase. A refusal in the show jumping phase is not acceptable.

